

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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21967 7590 09/21/2004

HUNTON & WILLIAMS LLP
 INTELLECTUAL PROPERTY DEPARTMENT
 1900 K STREET, N.W.
 SUITE 1200
 WASHINGTON, DC 20006-1109

11/26/2004 NNGUYEN2 00000172 09724803

01 FC:2501 685.00 OP

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/724,803	11/28/2000	Gregory G. Cappiello	34013-28PT	7805

TITLE OF INVENTION: DIFFRACTION GRATING FOR WAVELENGTH DIVISION MULTIPLEXING/DEMULTIPLEXING DEVICES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	<input checked="" type="checkbox"/> YES	\$1200 \$685	\$0	\$1200 \$685	12/21/2004
EXAMINER	ART UNIT	CLASS-SUBCLASS			
AMARI, ALESSANDRO V	2872	359-569000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Hunton & Williams LLP

2

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Confluent Photonics Corporation

Salem, NH

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number 50-0206 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

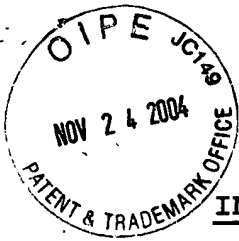
Date November 24, 2004

Typed or printed name Thomas E. Anderson

Registration No. 37,063

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 14 Alexandria, Virginia 22313-1450.

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Patent Application
Attorney Docket No.: 62687.000096
Client Reference No.: D-99019-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
: :
Gregory G. Cappiello, et al. : Group Art Unit: 2872
: :
Appln. No.: 09/724,803 :
: Examiner: Alesandro Amari
Filed: November 28, 2000 :
: :
For: DIFFRACTION GRATING FOR :
WAVELENGTH DIVISION :
MULTIPLEXING/DEMULTIPLEXING :
DEVICES :

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF ISSUE FEE TRANSMITTAL

Sir:

Submitted herewith is the Part B - Issue Fee Transmittal
for the above-identified patent application.

[] No additional fee is required.

[X] Also attached: A check in the amount of \$685.00 and Return
Receipt Postcard.

[X] The fee is calculated as shown below:

	PRESENT # OF CLAIMS	HIGHEST # PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	49	145	0	x \$18 =	\$.00
Independent Claims	10	19	0	x \$86 =	\$.00
Subtotal					\$.00
Issue Fee					\$1370.00
Subtract ½ if Small Entity					\$685.00
TOTAL FEE DUE					\$685.00

[] Please charge Deposit Account No. 50-0206 in the amount of \$.00 for the above-indicated fees. A duplicate copy of this transmittal is submitted herewith.

[X] The Commissioner is hereby authorized to charge any shortage in fees associated with the filing of this communication, or credit any overpayment, to Deposit Account No. 50-0206. A duplicate copy of this transmittal is submitted herewith.

Patent Application
Attorney Docket No.: 62687.000096
Client Reference No.: D-99019-US

Respectfully submitted,

Hunton & Williams LLP

By: 

Thomas E. Anderson
Registration No. 37,063

TEA/vrp

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Date: November 24, 2004